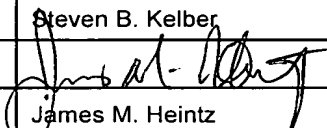


UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 3805-018-27	
	First Inventor or Application Identifier Mark Edward KANE	
	Title METHOD AND SYSTEM FOR ENSURING THAT A TRAIN OPERATOR REMAINS ALERT DURING OPERATION OF THE TRAIN	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) <input checked="" type="checkbox"/> Applicant claims small entity status. 2. <input checked="" type="checkbox"/> Specification Total Pages 31 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 4 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 3 a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed) 5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	ACCOMPANYING DOCUMENTS 6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 13. <input type="checkbox"/> Request for Priority 14. <input type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:	
17. Amend the specification by inserting before the first line the sentence: <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on <input type="checkbox"/> This application claims priority of provisional application Serial No. Filed	
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

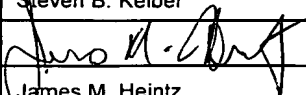
21905 U.S. PTO
 10/671625
 092903

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	September 29, 2003
Name	James M. Heintz	Registration No.	41,828

The PTO did not receive the following
 listed item(s) Page 2 and Page 3 of Oath

FEE TRANSMITTAL		Docket No.	3805-018-27	
		Serial No.	New Application	
		Filing Date	Herewith	
		Inventor(s)	Mark Edward KANE, et al.	
		Group Art Unit		
TOTAL AMOUNT OF PAYMENT		\$766.00	Examiner	

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										FEE CALCULATION (continued)					
										3. ADDITIONAL FEES					
										Large Entity		Small Entity		Fee Description	
2. <input checked="" type="checkbox"/> Check enclosed.										Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
FEE CALCULATION										1051	130	2051	65	Surcharge-late filing fee or oath	
1. BASIC FILING FEE										1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
Large Entity		Small Entity		Fee Description		1053	130	1053	130	Non-English specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid	1812	2520	1812	2520	Ex parte reexam. fee				
1001	750	2001	375	Utility filing fee		375.00	1251	110	2251	55	1-mo. ext. of time				
1002	330	2002	165	Design filing fee			1252	410	2252	205	2-mo. ext. of time				
1003	520	2003	260	Plant filing fee			1253	930	2253	465	3-mo. ext. of time				
1004	750	2004	375	Reissue filing fee			1254	1450	2254	725	4-mo. ext. of time				
1005	160	2005	80	Provisional filing fee			1255	1970	2255	985	5-mo. ext. of time				
SUBTOTAL (1)						\$375.00	1401	320	2401	160	Notice of Appeal				
2. EXTRA CLAIM FEES										1402	320	2402	160	Appeal Brief	
tot. claims	45	-	20*	=	25	x	\$9	=	225	1403	280	2403	140	Request for Oral Hearing	
ind. claims	6	-	3*	=	3	x	\$42	=	126	1501	1300	2501	650	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims						\$140	=		1502	470	2502	235	Design Issue Fee	
Large Entity		Small Entity		Fee Description		1503	630	2503	315	Plant Issue Fee					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1460	130	1460	130	Petitions to the Commissioner					
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	IDS Submission					
1201	84	2201	42	Independent claims in excess of 3		8021	40	8021	40	Assignment	40.00				
1203	280	2203	140	Multiple dependent claim, if not paid		1801	750	2801	375	For Filing RCE					
1204	84	2204	42	*Reissue independent claims over original patent		1802	900	1802	900	Expedited Design					
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									
SUBTOTAL (2)												\$351.00			
* or number previously paid, if greater; For Reissues, see above										SUBTOTAL (3)		\$40.00			

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	September 29, 2003	Telephone	202-861-3900
Name	James M. Heintz	Registration No.		41,828	